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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>	
Sheet	1	of	2
		Application Number	10/165.834
		Filing Date	06/07/2002
		First Named Inventor	Jay F. Kunzler
		Art Unit	1621
		Examiner Name	Kumar
		Attorney Docket Number	P03074

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
LON		US- 6228394	05/08/2001	Horhota	
		US- 6294194	09/25/2001	Horhota	
		US- 6071439	06/06/2000	Hahn	
		US- 5441989	08/15/1995	Meinert	
		US- 5340614	08/23/1994	Perman	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number-Kind Code <sup>4</sup> (if known)				
LON		JP	5-43699 A	02/23/1993	Harada		X
		JP	6-107796 A	04/19/1994	Harada		X
		JP	9-150002 A	06/10/1997	Kimura		X
LON		EP	0435328 A	07/03/1991	Takemasa		
		WO	98/07554 A1	02/26/1998	Terry		

Examiner Signature		Date Considered	10/1/06
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet

2

of

2

**Complete if Known**

Application Number

10/165.834

Filing Date

06/07/2002

**First Named Inventor**

**Jay F. Kunzler**

**Art Unit**

1621

<b>Examiner Name</b>	
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**Kumar**

Attorney Docket Number

P03074

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
lon		JP 2-302437 A	12/14/1990	Fukuhira		

**Examiner  
Signature**

himself

Date Considered

10/1/06

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.10 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

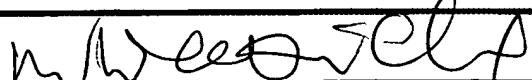
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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	10/165,834
		Filing Date	06/07/2002
		First Named Inventor	Jay F. Kunzler
		Art Unit	1621
		Examiner Name	Kumar
		Attorney Docket Number	P03074
Sheet	1	of	1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
bow		KIM, ET AL., "Phase behaviors and fractionation of polymer solutions in supercritical carbon dioxide," Journal of Supercritical Fluids, PRA Press (USA), Vol. 13 (No. 1-3), p. 99-106, (June 15, 1998).	

Examiner Signature		Date Considered	10/1/06
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

Attorney Docket No. P03074D1  
Serial No.: 10/801,741  
Applicants: Kunzler, et al.  
Filing Date: 03/16/2004

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OTHER ART

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Examiner  
Initial

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Title

Crisp, et al., *Effect of Silicone Oil Viscosity on Emulsification*, Arch  
Ophthalmol, Vol. 105, April 1987, pp. 546-549

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Examiner

W. Wood

Date Considered

10/1/06

\*A statement of relevance pursuant to 37 CFR 1.98(a)(3) for all non-translated foreign documents cited herein is included in the  
IDS transmittal letter accompanying this form.